



SMIFS CAPITAL MARKETS LIMITED

September 09, 2024

**The Secretary
The Calcutta Stock Exchange Limited
7, Lyons Range
Kolkata - 700 001**

Dear Sir,

**Sub: Change in Capital Restructuring - Regulation 30 of SEBI (LODR)
Regulation, 2015**

We are forwarding you the updated Shareholding Pattern of the Company, occurred due to Inter-se transfer between the Promoter and Promoter Group of the Company on September 06, 2024.

We hereby enclosing a copy of the updated Shareholding Pattern for your reference. Kindly take a note of the same.

Thanking You,
Yours Faithfully,

For SMIFS CAPITAL MARKETS LIMITED

**(Poonam Bhatia)
Company Secretary -cum - Compliance Officer**



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General information about company	
Scrip code	508905
NSE Symbol	
MSEI Symbol	
ISIN	INE641A01013
Name of the company	SMIFS CAPITAL MARKETS LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Capital Restructuring
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	06-09-2024
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	06-09-2024
Shareholding pattern filed under	Regulation 31 (1) (c)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

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For SMIFS CAPITAL MARKETS LIMITED

(POONAM BHATIA)
Company Secretary-cum-Compliance Officer

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Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	Yes			

Table I - Summary Statement holding of specified securities**Note : Data will be automatically populated from shareholding pattern sheet - Data Entry Restricted in this sheet**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid- up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)
(A)	Promoter & Promoter Group	6	3064051			3064051	54.86
(B)	Public	1807	2520949			2520949	45.14
(C)	Non Promoter- Non Public						
(C1)	Shares underlying DRs						
(C2)	Shares held by Employee Trusts						
	Total	1813	5585000			5585000	100

Number of Voting Rightsheld in each class of securities(IX)							
No of Voting (XIV) Rights			Total as a % of (A+B+C)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)
Class eg: X	Class eg:y	Total					
30,64,051.00		3064051.00	54.86				54.86
2520949.00		2520949.00	45.14				45.14
5585000.00		5585000.00	100.00				100

Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					Shareholding (No. of shares) under		
No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
				3064051			
				2480467	0	0	0
				5544518	0	0	0

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Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group						
(1)	Indian						
(a)	Individuals/Hindu undivided Family	2	219200			219200	3.92
(b)	Central Government/ State Government(s)						
(c)	Financial Institutions/ Banks						
(d)	Any Other (specify)	4	2844851			2844851	50.94
	Sub-Total (A)(1)	6	3064051			3064051	54.86
(2)	Foreign						
(a)	Individuals (NonResident Individuals/ Foreign Individuals)						
(b)	Government						
(c)	Institutions						
(d)	Foreign Portfolio Investor						
(e)	Any Other (specify)						
	Sub-Total (A)(2)						
	Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)	6	3064051			3064051	54.86
	Details of Shares which remain unclaimed for Promoter & Promoter Group						
B	Table III - Statement showing shareholding pattern of the Public shareholder						
	Note : Kindly show details of shareholders having more than one percentage of total no of shares. Please refer software manual.						
(1)	Institutions (Domestic)						
(a)	Mutual Funds						
(b)	Venture Capital Funds						
(c)	Alternate Investment Funds						

(d)	<u>Banks</u>						
(e)	<u>Insurance Companies</u>						
(f)	<u>Provident Funds/ Pension Funds</u>						
(g)	<u>Asset reconstruction companies</u>						
(h)	<u>Sovereign Wealth Funds</u>						
(i)	<u>NBFCs registered with RBI</u>						
(j)	<u>Other Financial Institutions</u>						
(k)	<u>Any Other (specify)</u>						
Sub-Total (B)(1)							
(2)	Institutions (Foreign)						
(a)	<u>Foreign Direct Investment</u>						
(b)	<u>Foreign Venture Capital Investors</u>						
(c)	<u>Sovereign Wealth Funds</u>						
(d)	<u>Foreign Portfolio Investors Category I</u>						
(e)	<u>Foreign Portfolio Investors Category II</u>						
(f)	<u>Overseas Depositories (holding DRs) (balancing figure)</u>						
(g)	<u>Any Other (specify)</u>						
Sub-Total (B)(2)							
(3)	Central Government / State Government(s)						
(a)	<u>Central Government / President of India</u>						
(b)	<u>State Government / Governor</u>						
(c)	<u>Shareholding by Companies or Bodies Corporate where Central / State Government is a promoter</u>						
Sub-Total (B)(3)							
(4)	Non-institutions						
(a)	<u>Associate companies / Subsidiaries</u>						
(b)	<u>Directors and their relatives (excluding independent directors and nominee directors)</u>						
(c)	<u>Key Managerial Personnel</u>						

(d)	<u>Relatives of promoters (other than 'immediate relatives' of promoters disclosed under 'Promoter and Promoter Group' category)</u>					
(e)	<u>Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee', 'beneficiary', or 'author of the trust'</u>					
(f)	<u>Investor Education and Protection Fund (IEPF)</u>	1	126011		126011	2.26
(g)	<u>Resident Individuals holding nominal share capital up to Rs. 2 lakhs</u>	1702	837106		837106	14.99
(h)	<u>Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs</u>	10	1010843		1010843	18.10
(i)	<u>Non Resident Indians (NRIs)</u>	9	14260		14260	0.26
(j)	<u>Foreign Nationals</u>					
(k)	<u>Foreign Companies</u>					
(l)	<u>Bodies Corporate</u>	37	455114		455114	8.15
(m)	<u>Any Other (specify)</u>	48	77615		77615	1.39
Sub-Total (B)(4)		1807	2520949		2520949	45.14
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)		1807	2520949		2520949	45.14
<u>Details of the shareholders acting as persons in Concert for Public</u>						
<u>Details of Shares which remain unclaimed for Public</u>						
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder					
(1)	<u>Custodian/DR Holder - Name of DR Holders (if Available)</u>					

(2)	Employee Benefit Trust / Employee Welfare Trust under SEBI (Share Based Employee Benefits and Sweat Equity) Regulations, 2021						
	Total NonPromoter- Non Public Shareholding (C)= (C)(1)+(C)(2)						
	Total (A+B+C2)	1813	5585000			5585000	100.00
	Total (A+B+C)	1813	5585000			5585000	100.00
	Disclosure of notes on shareholding pattern						
Disclosure of notes in case of promoter holding in dematerialised form is less than 100 percentage							
Disclosure of notes in case of public share holding is less than 25 percentage							
Disclosure of notes on shareholding pattern for company remarks explanatory							

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126011		126011	2.26				2.26	
837106		837106	14.99				14.99	
1010843		1010843	18.10				18.10	
14260		14260	0.26				0.26	
455114		455114	8.15				8.15	
77615		77615	1.39				1.39	
2520949.00		2520949	45.14				45.14	
2520949		2520949	45.14				45.14	

5585000.00		5585000	100.00				100.00	
5585000.00		5585000	100.00				100.00	
Add Notes								
Add Notes								
Add Notes								
Add Notes								

[illegible]

		126011	0	0
		805724	0	0
		1010843	0	0
		11260	0	0
		449014	0	0
		77615	0	0
		2480467	0	0
		2480467	0	0

			5544518	0	0
			5544518	0	0

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Searial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Vot
						No of Voting
						Class eg:X
A1(a)	Individuals/Hindu undivided Family					
<div>AddDelete</div>						
1	NILANGI PAREKH	AJPPP3852K	123000	123000	2.20	123000.00
2	UTSAV PAREKH	AGHPP4467H	96200	96200	1.72	96200.00
3	GAURI SHANKAR AJAY KUMAR (HUF)	AABHG5183R	0	0	0.00	0.00
4	AJAY KUMAR KAYAN (HUF)	AACHA9009B	0	0	0.00	0.00
5	SUMAN BHARTIA	AEEP89602B	0	0	0.00	0.00
6	PAYAL SARAF	AFYPK7045N	0	0	0.00	0.00
7	RAHUL KAYAN	AGAPK0442L	0	0	0.00	0.00
8	Ajay Kumar Kayan	AGGPK0892G	0	0	0.00	0.00
9	LALITA KAYAN	AGGPK0893H	0	0	0.00	0.00
Click here to go back		Total	219200	219200	3.92	219200.00

ing Rights held in each class of securities (IX)		Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type
(XIV) Rights	Total as a % of Total Voting rights				
Total					

123000.00	2.20	2.20	123000		Promoter Group
96200.00	1.72	1.72	96200		Promoter
0.00	0.00	0.00	0		Promoter Group
0.00	0.00	0.00	0		Promoter Group
0.00	0.00	0.00	0		Promoter Group
0.00	0.00	0.00	0		Promoter Group
0.00	0.00	0.00	0		Promoter Group
0.00	0.00	0.00	0		Promoter
0.00	0.00	0.00	0		Promoter Group
219200.00	3.92	3.92	219200		

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Sr. No.	Details of the SBO					Details of the register		
	Name	PAN	Passport No. in case of a foreign national	Nationality	Nationality (Applicable in case of Any other is selected)	Name	PAN	Passport No. in case of a foreign national
significant beneficial owners								
Add Delete								
1	UTSAV PAREKH	AGHPP4467H		India		PROGRESSIVE STAR FINANCE STEWART INVESTMENT	AABCP6020F	
2	UTSAV PAREKH	AGHPP4467H		India			AADCS8612C	

er owner		Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*:					Date of creation / acquisition of significant beneficial interest
Nationality	Nationality (Applicable in case of Any other is selected)	Whether by virtue of:					
		Shares	Voting rights	Rights on distributable dividend or any other distribution	Exercise of control	Exercise of significant influence	
India			21.23		No	No	27-03-2024
India			15.07		No	No	05-09-2024

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Searial No.	Category	Name of the Shareholders (I)	PAN (II)	No. of the Shareholders (I)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)
A1(d)	Any Other (specify)					
<div>AddDelete</div>						
1	Bodies Corporate	PROGRESSIVE STAR FINANCE PRIVATE LIMITED	AABCP6020F	1	1185751	1185751
2	Bodies Corporate	STEWART INVESTMENT AND FINANCIAL PRIVATE LIMITED	AADCS8612C	1	841900	841900
3	Bodies Corporate	MACKERTICH CONSULTANCY SERVICES PRIVATE LIMITED	AABCM5680Q	1	557200	557200
4	Bodies Corporate	LEND LEASE COMPANY (INDIA) LTD.	AAACL4431F	1	260000	260000
Click here to go back				Total	4	2844851
						2844851

Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Shareholder type
	No of Voting (XIV) Rights		Total as a % of Total Voting rights				
	Class eg: X	Total					

21.23	1185751.00	1185751.00	21.23	21.23	1185751		Promoter
15.07	841900.00	841900.00	15.07	15.07	841900		Promoter
9.98	557200.00	557200.00	9.98	9.98	557200		Promoter
4.66	260000.00	260000.00	4.66	4.66	260000		Promoter Group
50.94	2844851.00	2844851.00	50.94	50.94	2844851		

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Searial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Vot
						No of Vo
						Rig
						Class eg: X
B4(I)	Bodies Corporate					
<div><div>AddDelete</div><div>Disclosure of shareholder holding more than 1% of total number of shares</div></div>						
1	PLASMA COMMERCIALS PRIVATE LIMITED	AAGCP3305G	264500	264500	4.74	264500.00
2	ANTRIKSH VYAPAAR LIMITED	AACCA5697E	73221	73221	1.31	73221.00
Click here to go back			Total	337721	337721	6.05
						337721.00

Voting Rights held in each class of securities (IX)		Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares		
Voting (XIV) rights	Total as a % of Total Voting rights				Shareholding (No. of shares) under		
Total					Sub-category (i)	Sub-category (ii)	Sub-category (iii)

264500.00	4.74	4.74	264500		0	0	0
73221.00	1.31	1.31	73221		0	0	0
337721.00	6.05	6.05	337721		0	0	0

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Searial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Vot
						No of Vot Rig
						Class eg: X
B4(f)	Investor Education and Protection Fund (IEPF)					
<div><div>AddDelete</div><div>Disclosure of shareholder holding more than 1% of total number of shares</div></div>						
1	INVESTOR EDUCATION AND PROTECTION FU	ZZZZZ9999Z	126011	126011	2.26	126011.00
Click here to go back			Total	126011	2.26	126011.00

ing Rights held in each class of securities (IX)		Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares		
ing (XIV) hts	Total as a % of Total Voting rights				Shareholding (No. of shares) under		
Total					Sub-category (i)	Sub-category (ii)	Sub-category (iii)
126011.00	2.26	2.26	126011	1	0	0	0
126011.00	2.26	2.26	126011		0	0	0

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Searial No.	Name of the Shareholders (I)	PAN (II)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Votes
						No of Votes
						Rights
						Class eg: X
B4(h)	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.					
	Add	Delete	Disclosure of shareholder holding more than 1% of total number of shares			
1	PUNJABHAI KESHAVBHAI JADEJA	AEDPK1160L	247111	247111	4.42	247111.00
2	MINAL BHARAT PATEL	AACPP5126G	219349	219349	3.93	219349.00
3	SANGEETHA S	AJPS3739F	170000	170000	3.04	170000.00
4	BHOPENDRA KUMAR	APMPK3832R	105856	105856	1.90	105856.00
5	RUCHI GANGWAR	AONPG0792C	73406	73406	1.31	73406.00
6	MITHALAL HASTIMAL GANDHI	ABGPG9310G	62087	62087	1.11	62087.00
7	MANJU GAGGAR	AGHPG4408M	58550	58550	1.05	58550.00
Click here to go back			Total	936359	16.77	936359.00

ing Rights held in each class of securities (IX)		Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares		
ting (XIV) hts	Total as a % of Total Voting rights				Shareholding (No. of shares) under		
Total					Sub-category (i)	Sub-category (ii)	Sub-category (iii)
247111.00	4.42	4.42	247111		0	0	0
219349.00	3.93	3.93	219349	.	0	0	0
170000.00	3.04	3.04	170000		0	0	0
105856.00	1.90	1.90	105856		0	0	0
73406.00	1.31	1.31	73406		0	0	0
62087.00	1.11	1.11	62087		0	0	0
58550.00	1.05	1.05	58550		0	0	0
936359.00	16.77	16.77	936359		0	0	0

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Searial No.	Category	Category / More than 1 percentage	Name of the Shareholders (I)	PAN (II)	No. of the Shareholders (I)	No. of fully paid up equity shares held (IV)	Total nos. shares held (VII) = (IV)+(V)+ (VI)
B4(m)	Any Other (specify)						
	Add	Delete					
1	Trusts	Category			1	96	96
2	HUF	Category			44	62772	62772
3	Clearing Members	Category			2	14200	14200
4	LLP	Category			1	547	547
Click here to go back					Total	48	77615

Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)		Total as a % of Total Voting rights	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of equity shares held in dematerialized form (XIV)	Reason for not providing PAN	Sub-categorization of shares		
	No of Voting (XIV) Rights						Shareholding (No. of shares) under		
	Class eg: X	Total					Sub-category (i)	Sub-category (ii)	Sub-category (iii)
									0
0.00	96	96	0.00	0.00	96		0	0	0
1.12	62772	62772	1.12	1.12	62772		0	0	0
0.25	14200	14200	0.25	0.25	14200		0	0	0
0.01	547	547	0.01	0.01	547		0	0	0
1.38	77615.00	77615.00	1.38	1.38	77615		0	0	0

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Particular	Approved limits (%)	Limits utilized (%)
As on shareholding date	100.00	0.25
As on the end of previous 1st quarter	100.00	5.16
As on the end of previous 2nd quarter	100.00	5.15
As on the end of previous 3rd quarter	100.00	9.92
As on the end of previous 4th quarter	100.00	9.92

Notes :-

- 1) "Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities
- 2) Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Non-debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.

Certified True Copy
For SMIFS CAPITAL MARKETS LIMITED

(POONAM BHATIA)
Company Secretary-cum-Compliance Officer